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PTO/SB/21 (02-04) (AW 02/2004)

Approved for use through 7/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/687,584
	Filing Date	October 13, 2000
	First Named Inventor	Sholchi GOTOH, et al.
	Art Unit	2611
	Examiner Name	To Be Assigned
Total Number of Pages in This Submission 5 + European Search Report + 8 references	Attorney Docket No.	MTS-3213US

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/Declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  <b>PTO Form 1449, 8 references, return postcard</b>
<b>Remarks:</b>		
<b>SIGNATURE OF APPLICANT, ATTORNEY OR AGENT</b>		
Firm or Individual Name	Daniel N. Calder	Registration No. (Attorney/Agent) 27,424
Signature	<i>Daniel N. Calder</i>	
Date	August 12, 2004	

CERTIFICATE OF TRANSMISSION / MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date:			
Typed or printed name	Fran Petrillo		
Signature	<i>Fran Petrillo</i>	Date	August 12, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appln. No: 09/687,584  
Applicant: Shoichi GOTOH, et al.  
Filed: October 13, 2000  
Title: MULTICHANNEL DISPLAY DATA GENERATING APPARATUS,  
MEDIUM, AND INFORMATIONAL SET  
TC/A.U.: 2611  
Examiner: To Be Assigned  
Confirmation No.: To Be Assigned  
Docket No.: MTS-3213US

**SUPPLEMENTAL**  
**INFORMATION DISCLOSURE STATEMENT**

***Mail Stop Amendment***

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

S I R :

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (R&P) submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The following reference is not in the English language:

- 1) Riemann U: "Der MPEG-2-Standard Generische Codierung fur Bewegtbilder und zugehöriger Audio-Information Multiplex-Spezifikation fur die flexible Übertragung digitaler Datenstrome", Fernseh und Kinotechnik, VDE Verlag GHBH, Berlin, DE, Vol. 48, No. 10, October 1, 1994, pp. 545-550, 553.

Reference 1) was cited in a search report by a foreign Patent Office in a patent application corresponding to the above-identified patent application. A copy of this search report, which indicates the degree of relevance found by the foreign office, is transmitted herewith. MPEP Section 609 IIIA(3), second paragraph.

Copies of the following references were enclosed in an Information Disclosure Statement filed March 11, 2004:

- 1) EP 0 924 935
- 2) EP 0 778 710
- 3) US 5,828,414

This Information Disclosure Statement is being filed before mailing of the first Official Action. No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or statement is required. 37 C.F.R. § 1.97(b). A statement, however, is provided herewith:

#### **STATEMENT**

The undersigned hereby states that each item of information disclosed in the Information Disclosure Statement was first cited in any

communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Respectfully submitted,



Daniel N. Calder, Reg. 27,424  
Attorney for Applicants

DNC/fp

Enclosures: European Search Report  
PTO Form 1449 (2 Sheets)  
Copies of (8) References  
Transmittal Form

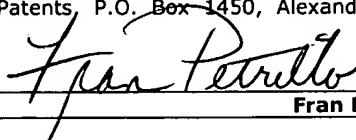
Dated: August 12, 2004

P.O. Box 980  
Valley Forge, PA 19482-0980  
(610) 407-0700

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August 12, 2004



Fran Petrillo



Substitute for Form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 1 of 2

### Complete if Known

Application Number	09/687,584
Filing Date	October 13, 2000
First Named Inventor	Shoichi GOTOH, et al.
Art Unit	2611
Examiner Name	To Be Assigned
Attorney Docket No.	MTS-3213US

### U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US 5,237,418 A	08/17/93	Kaneko	
		US 5,442,452 A	08/15/95	Ryu	
		US 5,699,392 A	12/16/97	Dokic	
		US 5,859,949 A	01/12/99	Yanagihara	

### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
		EP 0 566 092 A	10/20/93	SONY CORP		<input type="checkbox"/>
		EP 0 703 713 A	03/27/96	SONY CORP		<input type="checkbox"/>
		EP 0 757 495 A	02/05/97	TEXAS INSTRUMENTS FRANCE; TEXAS INSTRUMENTS INC.(US)		<input type="checkbox"/>
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Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

<sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

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Art Unit	2611
Examiner Name	To Be Assigned
Attorney Docket No.	MTS-3213US

SHEET 2 of 2

### NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
		European Search Report for EP 00 12 2470, dated July 8, 2004.	<input type="checkbox"/>
		Riemann, U.: "Der MPEG-2-Standard Generische Codierung fur Bewegtbilder und zugehöriger Audio-Information Multiplex-Spezifikation fur die flexible Übertragung digitaler Datenströme", Fernseh und Kinotechnik, VDE Verlag GHBH, Berlin, DE, Vol. 48, No. 10, October 1, 1994, pp. 545-550, 553.	<input type="checkbox"/>
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Examiner Signature		Date Considered	
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<sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup>Applicant is to place a check mark here if English language translation is attached.

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